

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Dkt: BUXTON1

In re Application of:  
 Francis Paul BUXTON et al.  
 Serial No. 10/538,339  
 IA Filing Date: December 11, 2003  
 For: METHODS FOR DIAGNOSING AND TREATING...

) Application Division  
 ) ATTN: PCT  
 ) Washington, D.C.  
 ) Confirmation No. 3551  
 ) Date: September 25, 2006

LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office  
 Customer Service Window  
 Randolph Building, Mail Stop Missing Parts  
 401 Dulany Street  
 Alexandria, VA 22314

Sir:

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated July 31, 2006. Attached hereto is/are:

- [XX] Two (2) executed oaths or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, PCT information and priority information.
- [XX] Search Fee [ ] \$200.00 (Small Entity) [XX] \$400.00 (Large Entity)
- [XX] Examination Fee [ ] \$100.00 (Small Entity) [XX] \$200.00 (Large Entity)
- [ ] An exact English language translation of the PCT application as originally filed.
- [ ] Exact English language translation of the Annexes to the International Preliminary Examination Report containing substitute pages \_\_\_ of the specification and new claims \_\_\_ to be used for examination.
- [ ] A Preliminary Amendment [ ] Fees are reduced due to elimination of claim multiple dependencies.
- [ ] Copy of the "Sequence Listing" in computer readable form (CRF) and statement that contents of the CRF are the same as the paper copy.
- [ ] An Information Disclosure Statement with 08A- 1449 and references.
- [ ] Additional fees as calculated below:

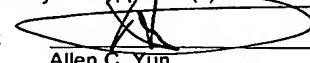
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00
Number of each additional 50 pages or fraction thereof (round up to a whole number)	RATE	
	X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate
17- 20 =		X \$ 50.00
6- 3 =	3	X \$200.00
Multiple Dependent Claims (if applicable)		+ \$360.00
<b>TOTAL OF ABOVE CALCULATIONS</b>		\$730.00
Reduction of ½ for filing by small entity, if applicable. Applicant claims small entity status. See 37 CFR 1.27.		<\$ >
	<b>SUBTOTAL</b>	\$730.00
Processing fee of \$130.00 for late furnishing of the English translation.		\$
	<b>TOTAL NATIONAL FEE</b>	\$730.00

- [ ] Surcharge for late filing of the Declaration was paid on \_\_\_\_\_.
- [ ] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity		Other Than Small Entity			
Response Filed Within		Response Filed Within			
[ ] First	-	\$ 60.00	[ ] First	-	\$ 120.00
[ ] Second	-	\$ 225.00	[ ] Second	-	\$ 450.00
[ ] Third	-	\$ 510.00	[ ] Third	-	\$1,020.00
[ ] Fourth	-	\$ 795.00	[ ] Fourth	-	\$1,590.00
[ ] Fifth	-	\$1,080.00	[ ] Fifth	-	\$2,160.00
month after time period set		month after time period set			

- [XX] Total fees enclosed: \$1330.00
- [XX] Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$1330.00 is enclosed to cover the above fees.
- [XX] Conditional Petition for Extension of Time:  
 If any extension of time for a response is required, applicant requests that this be considered a petition therefor.
- [XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.  
 Attorneys for Applicant(s)

By:   
 Allen C. Yun



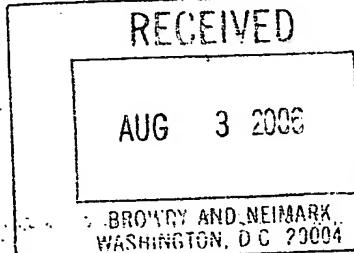
## UNITED STATES PATENT AND TRADEMARK OFFICE

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JPC  
WAB.

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United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/538,339	Francis Paul BUXTON	BUXTON1
INTERNATIONAL APPLICATION NO.		
PCT/EP03/14089		
I.A. FILING DATE		PRIORITY DATE
12/11/2003		12/12/2002
CONFIRMATION NO. 3551		
371 FORMALITIES LETTER		
 OC000000019812198*		

1444  
BROWDY AND NEIMARK, P.L.L.C.  
624 NINTH STREET, NW  
SUITE 300  
WASHINGTON, DC 20001-5303



Date Mailed: 07/31/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/10/2005
- Copy of the International Search Report filed on 06/10/2005
- Preliminary Amendments filed on 06/10/2005
- Information Disclosure Statements filed on 06/10/2005
- Request for Immediate Examination filed on 06/10/2005
- U.S. Basic National Fees filed on 06/10/2005
- Priority Documents filed on 06/10/2005

30 SE 2006  
MSP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$600 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration), as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$1330 for a Large Entity:

- \$130 Surcharge.
  - The application search fee has not been paid. Applicant must submit \$400 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 600
  - \$600 for 3 independent claims over 3.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,339	PCT/EP03/14089	BUXTON1

FORM PCT/DO/EO/905 (371 Formalities Notice)

09/28/2006 MKAYPAGH 00000046 10538339

01 FC:1642	400.00 0P
02 FC:1633	200.00 0P
03 FC:1617	130.00 0P
04 FC:1614	600.00 0P